

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE: PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 045637.005	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.6) 10/506843 To Be Assigned	
INTERNATIONAL APPLICATION NO. PCT/GB03/00939	INTERNATIONAL FILING DATE 06 March 2003 (06.03.2003)	PRIORITY DATE CLAIMED 06 March 2002 (06.03.2002)	
TITLE OF INVENTION STOPPERS			
APPLICANT(S) FOR DO/EO/US TAYLOR, David Graham			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. Coverpage of International Publication No. WO 03/074379 A2 (1 page); b. International Search Report (12 pages); c. Written Opinion (6 pages); d. International Preliminary Examination Report (12 pages); e. Certificate of Mailing Under 37 CFR § 1.10 (1 page); and f. Return Receipt Postcard. 			

U.S. APPLICATION NO. (if known, see 37 CFR 1.53)

107506843

INTERNATIONAL APPLICATION NO.
PCT/GB03/00939ATTORNEY'S DOCKET NUMBER
045637.00521. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO

and International Search Report not prepared by the EPO or JPO \$1,080.00

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but International Search Report prepared by the EPO or JPO \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO

but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO

but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO

and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$ 920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims	41 - 20 =	21	x \$18.00	\$ 378.00
Independent Claims	4 - 3 =	1	x \$86.00	\$ 86.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$
TOTAL OF ABOVE CALCULATIONS				= \$ 464.00

\$

Total Claims 41 - 20 = 21 x \$18.00 \$ 378.00

\$

Independent Claims 4 - 3 = 1 x \$86.00 \$ 86.00

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$280.00 \$

\$

TOTAL OF ABOVE CALCULATIONS = \$ 464.00☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

SUBTOTAL = \$ 1,384.00Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f))

\$

TOTAL NATIONAL FEE = \$Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

\$

TOTAL FEES ENCLOSED = \$ 1,384.00

Amount to be refunded:	\$
charged:	\$

a. ☒ Checks in the amount of **\$1,384.00** to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. **02-4300**. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or
(b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No.: 25,461

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NAME28,438
REGISTRATION NUMBER

10/506843

DT04 PCT/PTO 03 SEP 2004

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

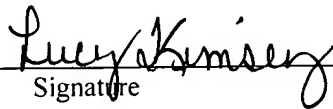
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on September 3, 2004

EV463457932US)

Express Mail Label No. EV463457932US


Signature

Lucy Kimsey

Inventor(s) : TAYLOR, David Graham
Serial No. : To Be Assigned
Filing Date : Concurrent Herewith
Title : Stoppers
Atty. Dkt. No. : 045637.005

The correspondence includes the following documents:

1. \$1,384.00 Check for Filing Fee;
2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2 pages, in duplicate);
3. Preliminary Amendment (6 pages);
4. Declaration (2 pages, unsigned);
5. Coverage of International Publication No. WO 03/074379 A2 (1 page);
6. International Search Report (12 pages);
7. Written Opinion (6 pages);
8. International Preliminary Examination Report (12 pages);
9. Information Disclosure Statement and Form PTO/SB/08a Information Disclosure Statement with 15 foreign patent references (210 pages);
10. Certificate of Mailing Under 37 CFR §1.10 (1 page); and
11. Return Receipt Postcard.